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Steroid B-Ring Lactones: a Reinvestigation

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In the Baeyer-Villiger oxidation, the migratory aptitude of alkyl groups decreases in the order tertiary, secondary, primary, and methyl. This tendency is a function of the ability of the migrating group to support a positive charge in the transition state.¹ Accordingly, Fonken and Miles² showed that the peracid oxidation of 6-keto steroids is a stereospecific process leading exclusively to 6-oxa steroids by preferential migration of the more substituted C-5. Subsequently, we also reported similar observations.³ Lately we noted that 6-keto- 5α - β -sitostanyl acetate (1) on perbenzoic acid (1 molar equiv) oxidation gave the anticipated 6-oxa lactone 2 as well as its 7-oxa isomer 3 arising through migration of the less substi-



tuted C-7,^{4,5} structures being established spectroscopically beyond doubt. This apparently unusual observation forced us to reexamine the reactions which had led to previous conclusions.2,3

 3β -Acetoxy- 5α -cholestan-6-one (4) with perbenzoic acid also afforded ϵ -lactones 5 and 6 identified spectroscopically as well as by chemical conversions. Base hydrolysis of 5 and 6 yielded 7 and 8, respectively, identical with products obtained by perbenzoic acid oxidation of 3β -hydroxy- 5α -cholestan-6-one (9). Jones' oxidation⁶ of 7 and 8 furnished 10 and 11, respectively. Base hydrolysis of 10 gave the anticipated α,β -unsaturated keto seco acid 12 (convertible to its ester 13) via the intermediate β -ketol 14. This supports the 6-oxa assignment in 10 and therefore in 7 and 5. On the other hand we failed to isolate 15 by hydrolysis of 11. It is reasonable to believe that 15 is formed, but readily undergoes relactonization to furnish 11. This assumption is supported by the observation that immediate TLC of the hydrolysate from 11 shows the presence of two components (one of which is 11). However, when the mixture is allowed to stand at room temperature for some time (3-4 h) or subjected to chromatographic separation only 11 is obtained. Similar observations were made with the lactones 5 and 6. In case of 10, as soon as β -ketol 14 is formed, it readily loses water to give 12.

Similarly, 5α -cholestan-6-one (16) provided the isomeric lactones 17 and 18, and its 3β -halogen analogues 19 and 22 furnished 20, 21, and 23, 24, respectively. On sodium-pentyl alcohol reduction 20 and 23 afforded 17, while 21 and 24 were transformed into 18.

An interesting feature of the NMR spectra of both 6- and 7-oxa lactones was the appearance of one of the C-7a protons as a broadened singlet and the other as a doublet with J = 3-5Hz. Examination of the Dreiding models of the isomeric lactones revealed that the dihedral angle between the planes of C-8- β H (axial) and C-7a- β H (pseudoequatorial) is almost 90°, which may account for its (C-7a- β H) appearance as a broadened singlet, as splitting will be almost negligible. On the other hand, C-8- β H splits C-7a- α H (pseudoaxial) into a doublet.

The point which emerges from this restudy is that a secondary carbon (C-7) competes quite effectively with a tertiary one (C-5) for migration to an electron-deficient oxygen in the Baeyer-Villiger oxidation of 6-keto steroids. In fact, in the presence of C-3 substituents, migration of C-7 is more pronounced than in their absence. Further, the bulk of the C-3 substituent seems to have a pronounced effect on the preferred migratory aptitude of C-7 in relation to C-5, as is evidenced by the behavior of the chloro (19) and the bromo (22)ketones toward perbenzoic acid.

Experimental Section

All melting points are uncorrected. IR spectra were determined in Nujol with a Perkin-Elmer 237 spectrophotometer. NMR spectra were run in CDCl_3 on a Varian A60 instrument with Me_4Si as the internal standard. UV spectra were obtained in methanol with a Beckman DK 2 spectrophotometer. TLC plates were coated with silica gel. A 20% aqueous solution of perchloric acid was used as the spraying agent. Light petroleum refers to a fraction of bp 60-80 °C. Anhydrous sodium sulfate was used as the drying agent. (IR: s, strong; w, weak. NMR: dd, double doublet; d, doublet; br, broad; s, singlet; mc, multiplet centred at; a, axial; e, equatorial.)

6-Oxa-B-homo-7-oxo- 5α - β -sitostanyl Acetate (2) and 7-**Oxa-B-homo-6-oxo-5** α - β -sitostanyl Acetate (3). To a solution of 6-keto- 5α - β -sitostanyl acetate (1) (obtained by acetylation, nitration, and zinc-acetic acid reduction of β -sitosterol) (2 g) in chloroform (30 mL) was added a chloroform solution of perbenzoic acid (1 molar equiv) and a few crystals of p-toluenesulfonic acid monohydrate as catalyst, and the reaction mixture was allowed to stand at room temperature for 1 week. The solvent was removed under reduced pressure and the residue extracted with ether. The ethereal solution was washed successively with water. NaHCO₃ solution (5%), and water and dried. Removal of the desiccant and the solvent provided a residue (ca. 2 g) which was chromatographed over silica gel (40 g) (each frac-

tion of about 25 mL was collected). Elution with light petroleum-ether (10:1) gave the unreacted 1 (500 mg), mp and mmp 120 °C. Elution with light petroleum-ether (6:1) afforded 3, crystallized from light petroleum as shining needles (380 mg): mp 130-131 °C; IR 1740 s (CH₃COO), 1715 s (ϵ -lactone), 1250 s (acetate), 1210, 1040 cm⁻¹ (C-O); NMR δ 4.66 (br, w_{1/2} = 14 Hz, C-3- α H), 4.08 (br s, C-7a- β H), 3.98 (d, C-7a- α H, J = 3.5 Hz), 2.91 (dd, C-5- α H, J_{a,a} = 11 Hz; J_{a,e} = 5 Hz), 2.01 (s, CH₃COO), 0.9, 0.8, 0.7 (methyl protons). Anal. Calcd for C₃₁H₅₂O₄: C, 76.23; H, 10.65. Found: C, 76.37; H, 10.56. Further elution with light petroleum-ether (5:1) furnished 2, crystallized from light petroleum (365 mg): mp 163–164 °C; IR 1740 s (CH₃COO), 1720 s (
 -lactone), 1240 s (acetate), 1040 cm^{-1} (C=O); NMR
 δ 4.75 (br, $W_{1/2}$ = 14 Hz, C-3- α H), 4.29 (dd, C-5- α H, $J_{a,a}$ = 11, $J_{a,e}$ = 5.5 Hz), 2.5 (br s, C-7a- β H), 2.43 (d, C-7a- α H, J = 3.5 Hz), 2.03 (s, CH₃COO), 0.9, 0.8, 0.7 (methyl protons). Anal. Calcd for C₃₁H₅₂O₄: C, 76.23; H, 10.65. Found: C, 76.33; H, 10.60

 3β -Acetoxy-6-oxa-B-homo-5 α -cholestan-7-one (5) and 3β -Acetoxy-7-oxa-B-homo-5a-cholestan-6-one (6). Reaction of 3β -acetoxy- 5α -cholestan-6-one⁷ (4) (2 g) with perbenzoic acid was performed in the manner described for 1 to provide a semisolid material which was chromatographed over silica gel. Elution with light petroleum-ether (10:1) gave the unreacted 4 (450 mg), mp⁷ and mmp 127 °C. Elution with light petroleum-ether (7:1) afforded 6, crystallized from light petroleum as needles (460 mg): mp 181 °C; IR 1740 n 12 c Holn ight pertoieuth as needes (460 mg). Inp 181 °C, IN 1740 s, 1715 s, 1250 s, 1205 , 1035 cm⁻¹; NMR δ 4.66 (br, $W_{1/2} = 14$ Hz, C-3–αH), 4.1 (br s, C-7a–βH), 4.0 (d, C-7a–αH, J = 3.5 Hz), 2.92 (dd, C-5–αH, $J_{a,a} = 11, J_{a,e} = 5$ Hz), 2.03 (s, CH₃COO), 0.9, 0.8, 0.7 (methyl protons). Anal. Calcd for C₂₉H₄₈O₄: C, 75.65; H, 10.43. Found: C, 75.75; H, 10.33. Further elution with light petroleum-ether (6:1) gave 5, crystallized from light petroleum (455 mg): mp 174 °C (lit.² mp 162-163 °C);⁸ IR 1740 s, 1718 s, 1245 s, 1035 cm⁻¹; NMR δ 4.75 (br, $W_{1/2} = 14$ Hz, C-3- α H), 4.29 (dd, C-5- α H, $J_{a,a} = 10, J_{a,e} = 5.5$ Hz), 2.52 (br s, C-7a- β H), 2.42 (d, C-7a- α H, J = 3.5 Hz), 2.03 (s, CH₃COO), 0.9, 0.8, 0.7 (methyl protons). Anal. Calcd for C₂₉H₄₈O₄: C, 75.65; H, 10.43. Found: C, 75.51; H, 10.47.

3β-Hydroxy-6-oxa-B-homo-5α-cholestan-7-one (7). A solution of 5 (250 mg) in 50 mL of methanolic NaOH (2%) was heated under reflux for 1 h. The solution was acidified with HCl and poured into water. The usual workup provided 7, crystallized from light petroleum-ether (200 mg): mp 202 °C (lit.² mp 139-141 °C);⁹ IR 3300 br (OH), 1718 s, 1225, 1025 cm⁻¹. Anal. Calcd for C₂₇H₄₆O₃: C, 77.51; H, 11.00. Found: C, 77.42; H, 11.07.

3\beta-Hydroxy-7-oxa-*B***-homo-5\alpha-cholestan-6-one (8). The acetate function in 6 (250 mg) was hydrolyzed in the manner described for 5. Subsequent workup gave 8, crystallized from light petroleum (210 mg): mp 124 °C; IR 3430 br, 1715 s, 1195, 1060 cm⁻¹. Anal. Calcd for C₂₇H₄₆O₃: C, 77.51; H, 11.00. Found: C, 77.45; H, 10.90.**

Baeyer-Villiger Oxidation of 3\beta-Hydroxy-5\alpha-cholestan-6-one (9). The ketone 9⁷ (2 g) was treated with perbenzoic acid in the usual manner to provide a residue which was chromatographed over silica gel. Elution with chloroform-benzene (8:1) gave the unreacted 9 (345 mg), mp⁷ and mmp 143 °C. Elution with chloroform furnished 8 (380 mg), mp and mmp 124 °C. Continued elution with the same solvent provided 7 (370 mg), mp and mmp 202 °C.

6-Oxa-B-homo-5α-cholestane-3,7-dione (10). The lactone 7 (300 mg) was dissolved in acetone (40 mL) and cooled below 10 °C in ice bath. Jones' reagent⁶ (0.5 mL) was added slowly with continuous stirring. Water (40 mL) was added to it and the precipitate thus obtained was taken in ether. Usual workup provided 10, crystallized from light petroleum-ether (260 mg): mp 191 °C; IR 1722 s, 1720 s, 1275, 1040 cm⁻¹. Anal. Calcd for C₂₇H₄₄O₃: C, 77.88; H, 10.57. Found: C, 77.93; H, 10.46.

Attempted Base-Catalyzed Hydrolysis of 11, 5, and 6. The lactone 11 was subjected to base-catalyzed hydrolysis and worked in the manner described by Fonken and Miles.² Immediate TLC of the residue showed two spots of about equal intensity (one lactone 11 and the other probably seco acid 15). However, on standing the ethereal solution of the mixture at room temperature for some time (3-4 h), relactonization occurred, as was evident from a single spot (TLC) identical with lactone 11. Efforts were made to separate them by column chromatography over silica gel, but 15 relactonized during the passage through silica gel, as elution afforded only the lactone 11.

The aforesaid observations were noted for lactones 5 and 6 also, in which relactonized products 7 and 8 were obtained from 5 and 6, respectively.

7-Oxa-B-homo- 5α **-cholestane-3,6-dione** (11). The lactone 8 (300 mg) was treated with Jones' reagent⁶ in the manner described for 10. Subsequent workup afforded 11, crystallized from light petroleumether as needles (250 mg): mp 195 °C; IR 1725 s, 1720 s, 1230, 1185, 1085 cm⁻¹. Anal. Calcd for $C_{27}H_{44}O_3$: C, 77.88; H, 10.57. Found: C, 77.80; H, 10.59.

3-Oxo-5,6-secocholest-4-en-6-oic acid (12). A solution of 10 (200 mg) in 40 mL of methanolic NaOH (5%) was heated under reflux for 2 h. The excess of methanol was removed under reduced pressure, and the residue was poured into water, acidified with dilute HCl, and extracted with ether. Usual workup provided 12 as a noncrystallizable oil (170 mg): UV 230 nm (ϵ 10 000); IR 3550–3200 br (COOH), 1725 s (COOH), 1675 s (C=C=C=O), 1610 w (C=C), 1180 cm⁻¹. Anal. Calcd for C₂₇H₄₄O₃: C, 77.88; H, 10.57. Found: C, 77.79; H, 10.50.

Methyl 3-Oxo-5,6-secocholest-4-en-6-oate (13). An ethereal solution of 12 (120 mg) was treated with an excess of an ethereal solution of diazomethane and allowed to stand for 10 min in the cold. Usual workup provided 13 as a noncrystallizable oil (110 mg): UV 230 nm (ϵ 9860); IR 1735 s (COOCH₃), 1680 s (C=C-C=O), 1615 w (C=C), 1190 cm⁻¹ (methyl ester); NMR δ 6.75 (d, C-5-H, J = 10 Hz), 5.68 (d, C-4-H, J = 10 Hz), 3.6 (s, COOCH₃), 2.51 (mc, C-2-H₂ and C-7-H₂), 1.2, 0.9, 0.8, 0.66 (methyl protons). Anal. Calcd for C₂₈H₄₆O₃: C, 78.13; H, 10.69. Found: C. 78.16; H, 10.68.

6-Oxa-B-homo-5\alpha-cholestan-7-one (17) and 7-Oxa-B-homo-5 α -cholestan-6-one (18). Reaction of 5 α -cholestan-6-one¹⁰ (16) (2 g) with perbenzoic acid in the usual manner gave a solid residue which was chromatographed over silica gel. Elution with light petroleum-ether (14:1) gave the unreacted 16 (300 mg), mp¹⁰ and mmp 98-99 °C. Elution with light petroleum-ether (11:1) provided 18, crystallized from light petroleum as needles (250 mg): mp 126 °C; IR 1722 s, 1185, 1135, 1080 cm⁻¹; NMR δ 4.26 (br s, C-7a- β H), 4.16 (d, C-7a- α H, J = 3.5 Hz), 2.66 (dd, C-5- α H, $J_{a,a}$ = 10, $J_{a,e}$ = 5 Hz), 0.9, 0.8, 0.7 (methyl protons). Anal. Calcd for C₂₇H₄₆O₂: C, 80.59; H, 11.44. Found: C, 80.45; H, 11.39.

Continued elution with the same solvent system furnished 17, crystallized from light petroleum as needles (760 mg): mp 155 °C (lit.² mp 143–144 °C);¹¹ IR 1720 s, 1275, 1035 cm⁻¹; NMR δ 4.16 (dd, C-5- α H, $J_{a,a} = 10$, $J_{a,e} = 5$ Hz), 2.5 (br s, C-7a- β H), 2.41 (d, C-7a- α H, J = 3.5 Hz), 0.9, 0.8 (methyl protons). Anal. Calcd for C₂₇H₄₆O₂: C, 80.59; H, 11.44. Found: C, 80.47; H, 11.51.

 3β -Chloro-6-oxa-B-homo-5 α -cholestan-7-one (20) and 3β chloro-7-oxa-B-homo-5 α -cholestan-6-one (21). 3β -Chloro-5 α cholestan-6-one¹² (19) (2 g) on treatment with perbenzoic acid in the usual fashion and subsequent workup gave a residue which was chromatographed over silica gel. Elution with light petroleum-ether (11:1) gave the unreacted 19 (540 mg), mp 12 and mmp 129 °C. Elution with light petroleum-ether (8:1) furnished 21, crystallized from light petroleum as fine needles (350 mg): mp 145 °C; IR 1715 s, 1195, 1130, 1085, 735 cm⁻¹; NMR δ 4.09 (br s, C-7a- β H), 4.0d (C-7a- α H, J = 5 Hz), 3.70 (br, $W_{1/2} = 14$ Hz, C-3- α H), 2.85 (dd, C-5- α H, $J_{a,a} = 11, J_{a,e}$ 5 Hz), 0.9, 0.8, 0.7 (methyl protons). Anal. Calcd for $C_{27}H_{45}O_2Cl$: C, 74.22; H, 10.30. Found: C, 74.35; H, 10.25. Further elution with light petroleum-ether (7:1) gave 20, crystallized from light petroleum (345 mg): mp 185 °C (lit.³ mp 167–168 °C);¹³ IR 1718 s, 1280, 1045, 740 cm⁻¹; NMR δ 4.21 (dd, C-5- α H, $J_{a,a}$ = 11, $J_{a,e}$ = 5 Hz), 3.66 (br, $W_{1/2}$ = 14 Hz, C-3- α H), 2.5 (br s, C-7a- β H), 2.41 (d, C-7a- α H, J = 5 Hz), 0.9, 0.8, 0.7 (methyl protons). Anal. Calcd for $C_{27}H_{45}O_2Cl$: C, 74.22; H, 10.30. Found: C, 74.20; H, 10.34.

 3β -Bromo-6-oxa-B-homo-5 α -cholestan-7-one (23) and 3β -Bromo-7-oxa-B-homo-5α-cholestan-6-one (24). 3β-Bromo-5αcholestan-6-one¹⁴ (22) (2 g) was treated with perbenzoic acid in the usual manner to provide a residue which was chromatographed over silica gel. Elution with light petroleum–ether $\left(12{:}1\right)$ gave the unreacted 22 (620 mg), mp¹⁴ and mmp 124 °C. Elution with light petroleumether (8:1) afforded 24, crystallized from light petroleum as fine needles (460 mg): mp 171 °C; IR 1712 s, 1190, 1130, 1080, 720 cm⁻¹; NMR δ 4.1 (br s, C-7a- β H), 4.01 (d, C-7a- α H, J = 5 Hz), 3.68 (br, $W_{1/2}$ = 14 Hz, C-3- α H), 2.84 (dd, C-5- α H, $J_{a,a}$ = 11, $J_{a,e}$ = 5 Hz), 0.9, 0.8, 0.7 (methyl protons). Anal. Calcd for C₂₇H₄₅O₂Br: C, 67.35; H, 9.35. Found: C, 67.42; H, 9.32. Further elution with light petroleum-ether (7:1) furnished 23, crystallized from light petroleum (375 mg): mp 183 °C (lit.³ mp 179 °C);¹⁵ IR 1715 s, 1280, 1035, 720 cm⁻¹; NMR δ 4.2 (dd, C-5- α H, $J_{a,a} = 11$, $J_{a,e} = 5$ Hz), 3.70 (br, $W_{1/2} = 14$ Hz, C-3- α H), 2.51 (br s, C-7a- β H), 2.41 (d, C-7a- α H, J = 5 Hz), 0.9, 0.8, 0.7 (methyl protons). Anal. Calcd for C27H45O2Br: C, 67.35; H, 9.35. Found: C. 67.45; H, 9.36

Sodium-Pentyl Alcohol Reduction of 20 and 23. The lactone 20 (200 mg) was dissolved in warm pentyl alcohol (10 mL) and to this solution was added sodium metal (1 g) in small portions with intermittent heating during 30 min. The solution was kept warm for an additional period of 2 h. When all the metal had dissolved, the reaction mixture was poured into cold water and worked up in the usual manner, followed by column chromatography over silica gel, to provide 17 (120 mg), mp and mmp 155 °C. In similar manner 23 afforded

Notes

17.

Sodium-Pentyl Alcohol Reduction of 21 and 24. The lactone 21 (200 mg) was subjected to reduction in the manner described for 20. Usual workup followed by column chromatography over silica gel afforded 18 (100 mg), mp and mmp 126 °C. Similarly 24 was transformed into 18.

Acknowledgment. We thank Professor Wasiur Rehman, Head, Chemistry, for the facilities and CSIR (New Delhi) for financial assistance (G.M. and I.A.K.).

Registry No.-1. 63903-45-7; 2. 63866-15-9; 3. 63866-16-0; 4. 1256-83-3; 5, 20104-89-6; 6, 20104-90-9; 7, 20104-95-4; 8, 20104-91-0; 9, 1175-06-0; 10, 20104-96-5; 11, 20104-92-1; 12, 63866-17-1; 13, 63904-21-2; 16, 570-46-7; 17, 31239-55-1; 18, 63866-18-2; 19, 1056-93-5; 20, 31239-53-9; 21, 63866-19-3; 22, 63866-20-6; 23, 31239-57-3; 24, 63866-21-7; diazomethane, 334-88-3.

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- An admixture of the isomeric lactones 5 and 6 in the ratio of 1:1 showed the melting point corresponding to the reported² melting point of 5. It obviously indicates that the product obtained by Fonken and Miles² was a mixture of 5 and 6.
- As the initial product of oxidation reported by Fonken and Miles² seems (9) to have been a mixture of 5 and 6, its acetate hydrolysis would only have given another mixture of isomeric hydroxy lactones 7 and 8. This was shown to be the case as revealed by a mixture melting point determination of a mixture of 7 and 8 in the ratio of 1:1, which corresponded with the reported melting point for 7. C. W. Shoppee, R. H. Jenkins, and G. H. R. Summers, *J. Chem. Soc.*, 1657
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- (11)A mixture of 17 and 18 in the ratio of 1:1 melted at 110-121 °C. However, when mixed in the ratio of the yield of 17 and 18, the melting point was observed at 142–144 °C, corresponding to the melting point reported² for
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 From the melting point range (158–164 °C) of an admixture of isomeric lactones **20** and **21**, which is very close to the melting point of **20** reported³ earlier, there appears no doubt that the reported lactone **20** was, in fact, a mixture of **20** and **21**. C. W. Shoppee and G. H. R. Summers, *J. Chem. Soc.*, 1786 (1952).
- A mixture of 23 and 24 in the ratio of their respective yields melted at 177-179 °C corresponding to the melting point reported³ for 23. It clearly indicates that the earlier reported lactone 23 was a mixture of 23 and 24.

Rotational Deactivation in the Triplet Photochemistry of 5,5-Diphenylcyclohepta-1,3-diene^{1a}

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Received May 12, 1977

The importance of rotational deactivation on the excitedstate processes of numerous systems has now been established.^{2,3} An early example illustrating this phenomenon was the divergent triplet chemistry of the exocyclic diene 1⁴ vs. the endocyclic diene 2.5 'Thus, while 1 was unreactive in the triplet state, 2 undergoes facile rearrangement to a ca. 90:10 mixture of 3 and 4 ($\Phi = 0.24$). To examine the effect of ring size on the



Table I. Quantum Yields for Irradiation of 5.5-Diphenylcyclohepta-1,3-diene (5)

| Run | Conc of diene × 10 ⁻³ M | Sensitizer | λ (nm) | mE ab- sorbed | $\Phi_{\mathrm{dis}}{}^b$ | Φ_{app} |
|------------|--|-------------------|--------|------------------|---------------------------|--------------|
| 1 <i>ª</i> | 19.6 | 2-Acetonaphth- | 345 | 0.068 | 0.30 | 0.25 |
| 2 | 19.6 | one Benzophen- | 345 | 0.069 | 0.30 | 0.20 |
| 3ª | 20.6 | None | 255 | 0.039 | 0.42 | 0.38 |

^a These values are an average of two determinations. ^b Conversions of 5-8% were utilized for these measurements.

triplet chemistry of endocyclic dienes, we have prepared 5,5-diphenylcyclohepta-1,3-diene (5) and studied its singlet and triplet photochemistry. The change from the six-membered ring of 2 to the seven-membered ring of 5 has a dramatic effect on the triplet photochemistry of the endocyclic diene.6

The required diene 5 was synthesized from the epoxide 6 as outlined below. The singlet chemistry of 5 was studied first, Ph



since phenyl migration would allow a comparison of the efficiency of the Woodward-Hoffmann allowed product 9 with that of the forbidden product 10.5a Direct preparative irradiation of 5 in cyclohexane with Vycor-filtered light afforded 59% of an isomeric hydrocarbon. The NMR spectrum of the material showed two vinyl protons at δ 5.92 (d, J = 3 Hz, 1 H) and 5.52 (m, 1 H), in addition to two broad one-hydrogen singlets at δ 3.95 and 3.30 and aromatic absorption. The coupling constant was suggestive of a cyclobutene fragment;⁷ thus, structure 11 was favored. This structure assignment was



confirmed by pyrolysis of 11 at 500 °C to afford 5 (67%), a known process for cyclobutenes.8 The preparative sensitized reaction of 5 using 2-acetonaphthone as sensitizer again afforded 11 as the major product (68% isolated) after chromatography on neutral alumina.

For comparison of the efficiencies of these reactions, the quantum yields for the 2-acetonaphthone- and benzophenone-sensitized reactions as well as that for the direct excitation were measured. For both the singlet and triplet excited state, the cyclobutene 11 is formed with reasonable efficiency

While the photoproducts from both direct and sensitized irradiations are the same, their mechanism for formation is probably different. The low intersystem crossing efficiency in the excited diene together with the well-precedented excited singlet-state diene-cyclobutene conversion suggest the